



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Kaplan, et al. Examiner: Cheung, Mary Da Zhi Wang  
Serial No.: 10/043,899 Group Art Unit: 3694  
Filed: January 11, 2002 Confirmation No.: 7268  
Attorney Docket No.: 24631-501  
Title: **ANONYMOUS AUCTIONING OF STRUCTURED FINANCIAL PRODUCTS OVER A COMPUTER NETWORK**

**MAIL STOP AMENDMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**  
**CORRECTED RESPONSE TO RESTRICTION/ELECTION REQUIREMENT**  
**DATED MARCH 7, 2007**

Sir:

In response to the Notice of Non-Compliant Amendment dated November 16, 2007, Applicants hereby submits the following corrected Response to Restriction/Election Requirement which addresses the issue raised in the Notice. This Response is due on or before December 16, 2007 with no extensions.

The **Remarks** begins on page 2 of this paper.

The **Conclusion** begins on page 3 of this paper.